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2 Draft protocol for a risk-benefit assessment  
3 of sunscreen

4 From the Panel on Food Additives, Flavourings, Processing Aids, Materials in Contact with  
5 Food, and Cosmetics of the Norwegian Scientific Committee for Food and Environment

## Draft protocol for a risk-benefit assessment of sunscreen

- 6 From the Norwegian Scientific Committee for Food and Environment (VKM) 2018  
7 Draft protocol for a risk-benefit assessment of sunscreen
- 8 The Panel on Food Additives, Flavourings, Processing Aids, Materials in Contact with Food,  
9 and Cosmetics of the Norwegian Scientific Committee for Food and Environment  
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- 25

## 26 **Draft protocol for a risk-benefit assessment of sunscreen**

### 27 **Preparation of the protocol**

28 A project group prepared the draft protocol for a risk-benefit assessment of sunscreen. The  
29 project group consisted of three VKM members of the Panel on Food Additives, Flavourings,  
30 Processing Aids, Materials in Contact with Food, and Cosmetics and one employee of the  
31 VKM secretariat.

### 32 **Assessed and approved**

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34 order after chair of the project group):

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55 Public Health

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59 Radiation Protection Authority, for providing spectral data and estimating solar radiation in  
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61 questions related to sunscreen regulations in the EU.

62 **Competence of VKM experts**

63 Persons working for VKM, either as appointed members of the Committee or as external  
64 experts, do this by virtue of their scientific expertise, not as representatives for their  
65 employers or third party interests. The Civil Services Act instructions on legal competence  
66 apply for all work prepared by VKM.

67

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## 112 Abbreviations and definitions

### 113 **Abbreviations**

114	CIE -	International Commission on Illumination
115	DALY -	Disability-adjusted life-years
116	DU -	Dobson units. A unit used for measurement of ozone in the atmosphere
117	EFSA -	European Food Safety Authority
118	IARC -	International Agency for Research on Cancer
119	SPF -	Sunscreen protection factor
120	UVA -	Ultraviolet radiation A. Denotes electromagnetic wavelengths in the range
121		320-400 nm
122	UVB -	Ultraviolet radiation B. Denotes electromagnetic wavelengths in the range
123		280-320 nm
124	UVR -	Ultraviolet radiation
125	WHO -	World Health Organization
126	WoE -	Weight of evidence

### 127 **Definitions**

128 **Adverse effect:** An effect is considered “adverse” when leading to a change in the  
129 morphology, physiology, growth, development, reproduction or life span of an organism,  
130 system or (sub)population that results in an impairment of functional capacity to compensate  
131 for additional stress or an increase in susceptibility to other influences” (WHO, 2009).

132 **Benchmark dose:** The minimum dose of a substance that produces a clear, low level  
133 health risk, usually in the range of a 1-10% change in a specific toxic effect such as cancer  
134 induction (<https://www.efsa.europa.eu/en/glossary-taxonomy-terms>).

135 **Beneficial effect:** An effect is considered “beneficial” if it has the probability to be linked to  
136 a positive (health) effect (e.g. increase the resilience of the organism to a certain challenge)  
137 and/or the probability to be linked to a reduction of an adverse health effect in an organism,  
138 system or (sub)population, in reaction to exposure to an agent (Guidance on Biological

139 Relevance, Jan Alexander and Nikolaos Georgiadis, EFSA. In presentation given to VKM  
140 09.11.2017).

141 In this risk-benefit assessment protocol a beneficial effect of sunscreen is further defined as  
142 follows: An effect of a sunscreen is considered beneficial when it reduces the dose of solar  
143 UVR to skin cells and thereby reduces the adverse health effects caused by UVR (modified  
144 from [https://ec.europa.eu/growth/sectors/cosmetics/products/sunscreen\\_en](https://ec.europa.eu/growth/sectors/cosmetics/products/sunscreen_en)).

145 **No observed adverse effect level (NOAEL):** The greatest concentration or amount of a  
146 substance at which no detectable adverse effects occur in an exposed population  
147 (<https://www.efsa.europa.eu/en/glossary-taxonomy-terms>).

148 **Optical radiation:** Ultraviolet, visible and infrared electromagnetic radiation. Solar radiation  
149 includes all three radiation wavelength ranges which at the earth's surface are approximately  
150 290-400 nm, 380-780 nm, and 780-3000 nm, respectively.

151 **Point of departure (POD):** The point on a dose–response curve established from  
152 experimental data used to derive a safe level ([https://www.efsa.europa.eu/en/glossary-](https://www.efsa.europa.eu/en/glossary-taxonomy-terms)  
153 [taxonomy-terms](https://www.efsa.europa.eu/en/glossary-taxonomy-terms)). The point of departure may be derived e.g. from the No-observed-  
154 adverse-effect level (NOAEL) or by using the benchmark dose (BMD) method. POD is also  
155 called Reference point.

156 **Risk-benefit assessment:** In the risk-benefit assessment, the probability of an adverse  
157 health effect or harm (both incidence and severity) as a consequence of exposure can be  
158 weighed against the probability of benefit, if both are known to be possible (EFSA, 2010).  
159 The proposed procedure for a risk-benefit assessment (EFSA, 2010) is illustrated in the table  
160 below.

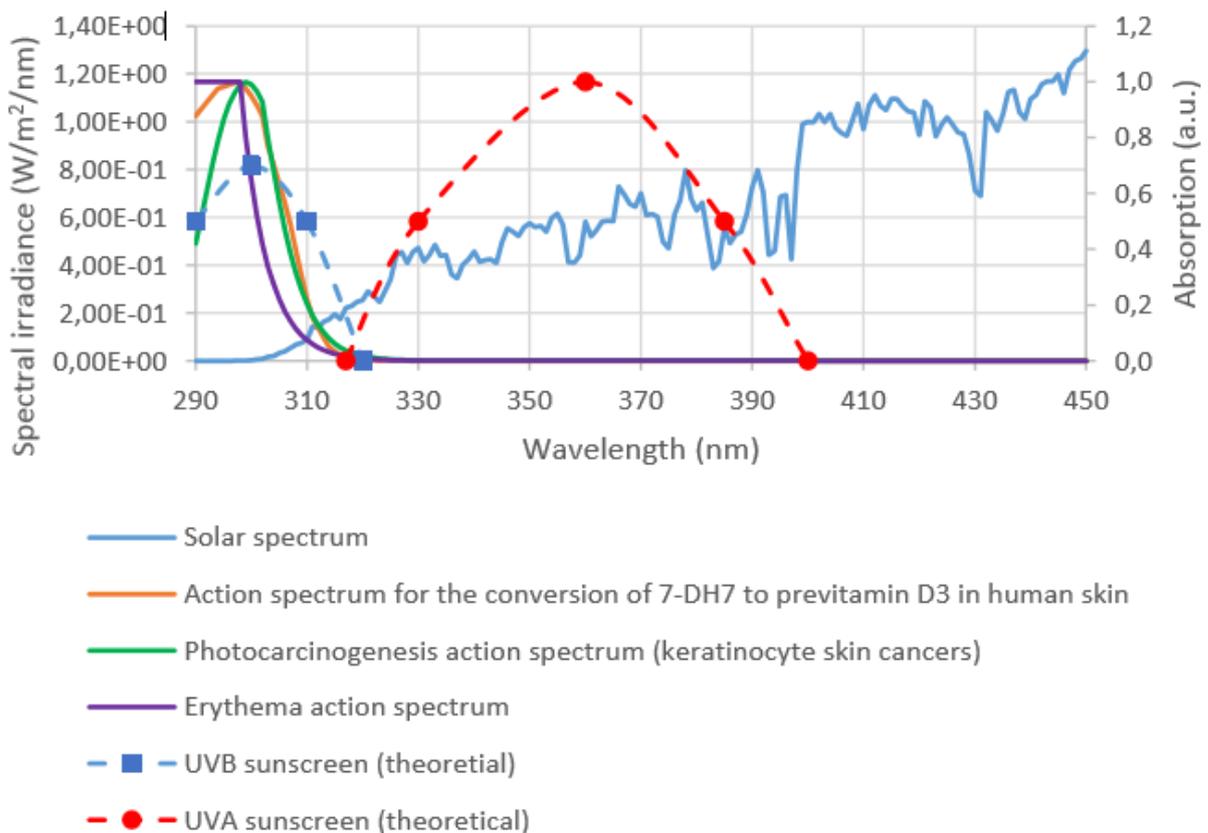
<b>Risk assessment</b>	<b>Benefit assessment</b>
Hazard identification	Positive health effect/reduced adverse effect identification
Hazard characterisation (dose response assessment)	Positive health effect/reduced adverse effect characterisation (dose response assessment)
Exposure assessment	Exposure assessment
Risk characterisation	Benefit characterisation

161

# 162 1 Introduction

163 Sunscreens are cosmetic products used to reduce ultraviolet radiation (UVR) exposure to the  
 164 skin. According to the EU Commission recommendations, sunscreen products should protect  
 165 against both short-waved (UVB) and long-waved (UVA) UVR, because all UVR exposure is  
 166 linked to increased risk of certain skin cancers (Commission Recommendation 2006/647/EC)  
 167 (Figure 1-1). All sunscreen products must be safe under normal and reasonably foreseeable  
 168 use conditions, as specified in the Cosmetic Products Regulation (EC, 2009). However, there  
 169 are concerns whether some sunscreen ingredients pose risk to frequent users, e.g. allergic  
 170 reactions or endocrine effects.

171 Aside from induction of melanoma and keratinocyte skin cancers, UVR can induce other  
 172 adverse effects such as sunburn, immunosuppression and cataract of the eye as well as  
 173 beneficial effects such as vitamin D synthesis and immunomodulation. However, as  
 174 formulated by the International Agency for Research on Cancer (IARC): "duration of sun  
 175 exposure beyond skin capacity to form vitamin D will not further increase vitamin D, but will  
 176 increase skin cancer risk" (IARC, 2008) (Figure 1-1).



181 irradiance is lower than in the 320-400 nm (UVA) region; however, UVB photons have higher energy  
182 than UVA photons. Other effects of UVR induction are not shown. Experimental data are not sufficient  
183 for specifying effectiveness of keratinocyte skin cancers above 400 nm (ISO/CIE, 2016). No official  
184 action spectrum exists for the induction of melanoma skin cancer. Theoretical UVB and UVA sunscreen  
185 absorption spectra are shown for illustration. Left y-axis: Spectral irradiance of the sun estimated for  
186 the following conditions: Norway in the summer at noon, solar zenith angle 40° and 340 DU (Dobson  
187 units) (Emde et al., 2016; Pierluissi and Peng, 1985; Ricchiazzi et al., 1998). Right y-axis: Relative  
188 magnitude of effect of action spectra or absorption of UVR in sunscreens.

189 In Norway, the incidence of skin cancer is among the highest worldwide (GLOBOCAN, 2012).  
190 The mortality of malignant melanoma, the most severe form of skin cancers, is highest in  
191 Europe (Sacchetto et al., 2018). The incidence rate of melanoma increased with >50%  
192 during the period 2000-2016 (Norwegian Cancer Registry, 2018).

193 On this background, the Panel on Food Additives, Flavourings, Processing Aids, Materials in  
194 Contact with Food, and Cosmetics of the Norwegian Scientific Committee for Food and  
195 Environment (VKM) has suggested to perform a risk-benefit assessment of sunscreen use.

## 196 **1.1 Terms of reference**

197 The terms of reference is to develop a protocol of a risk-benefit assessment of sunscreen  
198 use. The target group for the assessment will be the Norwegian population, both sexes, and  
199 all age groups.

200 The purpose of the protocol is to ensure that the assessment will be efficient, transparent  
201 and methodologically rigorous.

202 The protocol shall address the following steps:

- 203 • The problem formulation (Chapter 2)
- 204 • The selection criteria for the sunscreens and sunscreen substances included in the  
205 assessment (Chapter 2)
- 206 • The hazard identification and characterisation (Chapter 3)
- 207 • The benefit identification and characterisation (Chapter 4)
- 208 • The exposure estimation (Chapter 5)
- 209 • The risk-benefit assessment (Chapter 6)

210 The protocol is a first step towards a risk-benefit assessment of sunscreens. The second step  
211 is to perform the risk-benefit assessment as described in the protocol. Following approval of  
212 the protocol, VKM will make the decision as to whether the assessment will be carried out.

213

## 214 2 Problem formulation

### 215 2.1 Objectives and sub-objectives of the assessment

216 The overall aim of the risk-benefit assessment is to weigh risks against benefits of using  
217 sunscreen as skin protection against ultraviolet radiation.

218 The sub-objectives are to:

- 219 • Identify and characterise adverse health effects related to sunscreen use, e.g. allergic  
220 reactions or endocrine effects
  - 221 ○ Evaluate the quality of the scientific evidence through a weight of evidence  
222 (WoE) approach
  - 223 ○ Identify and describe the uncertainty related to the outcome
- 224 • Identify and characterise beneficial health effects related to sunscreen use, i.e. the  
225 (indirect) positive effect of the sunscreen's ability to protect skin cells from solar UVR
  - 226 ○ Evaluate the quality of the scientific evidence through a WoE approach
  - 227 ○ Identify and describe the uncertainty related to the outcome
- 228 • Calculate the exposure to sunscreen using different scenarios
- 229 • Perform a risk-benefit analysis using the disability-adjusted-life years (DALY) method  
230 to quantify health losses or gains
- 231 • Identify and describe knowledge gaps

### 232 2.2 Target population

233 The target population is the Norwegian population, both sexes, and all age groups. The  
234 availability of data may limit inclusion of certain age groups.

### 235 2.3 Inclusion and exclusion of sunscreens and sunscreen 236 ingredients

237 Sunscreens contain a large number of ingredients, 10-50 per product is a common range,  
238 and each sunscreen has its specific combination of ingredients. Sunscreen ingredients have a  
239 variety of functions and these may be divided into groups such as UV filters, preservatives  
240 and fragrances to mention a few. Therefore, to make a risk-benefit assessment feasible for  
241 VKM, only a limited number of ingredients and no effects of ingredient combinations will be  
242 included in the assessment. An overview of the criteria for including and excluding  
243 ingredients for the risk-benefit assessment is given in Table 2.3-1.

244  
245

246 **Table 2.3-1.** Criteria for including and excluding sunscreens and ingredients.

	<b>Inclusion</b>	<b>Reason</b>	<b>Exclusion</b>	<b>Reason</b>
<b>Sunscreens</b>	Sunscreen products protecting against UVB and UVA and available on the Norwegian market	The assessment is for Norwegian conditions	Sunscreens protecting against any other wavelengths of the solar spectrum than UVA and UVB	
			Sunscreens that can be obtained only in web shops	Difficult to limit to the Norwegian market
			Sunscreen sprays from aerosol cans	To limit the assessment to dermal exposure excluding inhalation
			Sunscreen lip sticks	To limit the assessment, the intended use area is small, and to differentiate between skin cancer and lip and oral cancer
			Cosmetics containing UV-filters and preservatives but not marketed as sunscreens	These products are not mainly intended to block UVR
<b>Ingredient groups</b>	UV-filters and preservatives	UV-filters represent main purpose of the sunscreen		
			Other sunscreen ingredient groups than UV-filters and preservatives	To limit the assessment
		Consumer concern/media coverage about the health risk of preservatives in cosmetics		
<b>Single substances</b>	To be decided after all sunscreens are selected		To be decided after all sunscreens are selected	

## 248 **2.4 Literature searches and study selection**

249 Separate literature searches will be performed to identify publications useful for answering  
250 the Terms of Reference. An information specialist will conduct the literature searches.

251 Literature searches will be conducted in several databases, and the result from each  
252 bibliographic database will be imported and combined in the bibliographic reference  
253 management software EndNote.

254 Articles will be screened based on inclusion and exclusion criteria specific for the hazard  
255 identification and characterisation, the benefit identification and characterisation, and the  
256 exposure estimation. Editorials, comments, letters to the editor, meeting's abstracts, posters  
257 and book chapters will be excluded.

258 For the selection of studies, VKM foresees a step-wise procedure as follows:

259 1. **Screening of titles and abstracts:** The screening of titles and abstract will be  
260 performed by two reviewers working independently. When in doubt about inclusion the  
261 paper will be considered as meeting the inclusion criteria.

262 2. **Screening of full-text documents:** For records passing the first screening based on  
263 titles and abstracts, the full text will undergo a second screening against the inclusion  
264 criteria by means of two reviewers working independently.

265 In case of disagreement, the two reviewers will discuss the paper in order to reach  
266 consensus. If the disagreement persists, the article will be brought to the attention of the  
267 Panel for discussion and agreement on a final decision.

268 The results of the different steps of the study selection process will be reported separately  
269 for hazard identification and characterisation, the benefit identification and characterisation,  
270 and the exposure estimation, and the searches will be presented in the risk assessment  
271 opinion as separate flowcharts.

## 272 **2.5 Data extraction from included studies**

273 Pre-defined data extraction forms (modified from EFSA et al. (2017)) will be used to collect  
274 the data from the studies to be included in the assessment. Data extraction will be  
275 performed by one reviewer and checked for quality/consistency by a second reviewer.

276

## 277 3 Hazard identification and 278 characterisation

### 279 3.1 Sub-questions to be answered in the hazard identification 280 and characterisation steps

281 The sub-questions to be answered in the hazard identification and characterisation steps are  
282 presented in Table 3.1-1. A full systematic procedure will be applied to identify human and  
283 animal studies reporting on adverse health effects of UV-filters and preservatives in  
284 sunscreens. For studies on toxicokinetics and genotoxicity, the approach will be narrative.

285 **Table 3.1-1.** Sub-questions to be answered in the hazard identification and characterisation steps.

Risk assessment step	No	Sub-question	Approach
Hazard identification	1	Is exposure to the UV-filters alone or in combination with UVR related to adverse effects in humans? Identify target organs.	Systematic
Hazard identification	2	Is exposure to the preservatives alone or in combination with UVR related to adverse effect in humans? Identify target organs.	Systematic
Hazard identification	3	Is exposure to the UV-filters alone or in combination with UVR related to adverse effects in animals? Identify target organs.	Systematic
Hazard identification	4	Is exposure to the preservatives alone or in combination with UVR related to adverse effect in animals? Identify target organs.	Systematic
Hazard identification	5	Are UV-filters and/or preservatives alone or in combination with UVR associated with genotoxicity, skin irritation or sensitisation in <i>in vitro</i> experiments?	Narrative
Hazard characterisation	6	What is the nature of any dose-response relationships between UV-filters alone or in combination with UVR and relevant endpoints in the target organs in human and/or animal studies?	Systematic
Hazard characterisation	7	What is the nature of any dose-response relationships between preservatives alone or in combination with UVR and relevant endpoints in the target organs in human and/or animal studies?	Systematic
Hazard characterisation	8	What is the ADME* in humans and in different animal species/strains, and are there any differences?	Narrative
Hazard characterisation	9	Are the included human/animal studies biased according to the defined criteria?	Evaluation of risk of bias

286 \*ADME - absorption, distribution, metabolism, excretion.  
287

## 288 **3.2 Literature search - Hazard**

289 A literature search will be performed to identify publications useful for answering the hazard  
290 identification and characterisation sub-questions. The relevant endpoints are adverse health  
291 effects related to UV-filters and preservatives in sunscreens.

292 The literature search will be conducted in the following bibliographic databases:

- 293 ○ Ovid MEDLINE(R)
- 294 ○ Embase
- 295 ○ ISI Web of Science
- 296 ○ Scopus
- 297 ○ Cochrane Database of Systematic Reviews
- 298 ○ Epistemonikos

## 299 **3.3 Methods for gathering evidence**

300 Data from human and animal studies, identified using a systematic approach, will  
301 be collected using data extraction forms, and risk of bias will be evaluated (modified from  
302 EFSA et al. (2017)).

### 303 **3.3.1 Inclusion/exclusion criteria for hazard identification and** 304 **characterisation**

305 Tables 3.3.1-1, 3.3.1-2 and 3.3.1-3 list criteria for inclusion or exclusion of human, animal  
306 and *in vitro* studies in the hazard identification and characterisation steps. For *in vitro*  
307 studies, studies addressing genotoxicity, skin irritation and skin sensitisation will be included  
308 in the hazard identification and characterisation steps.

309

310 **Table 3.3.1-1.** Inclusion/exclusion criteria for human studies in the hazard identification and  
 311 characterisation.

<b>Literature screening for data related to the following sub-questions to be answered in the hazard identification and characterisation</b>		
1: Is exposure to UV-filters alone or in combination with UVR related to adverse effects in humans?		
2: Is exposure to preservatives alone or in combination with UVR related to adverse effects in humans?		
6: What is the nature of any dose–response relationship between UV-filters alone or in combination with UVR and relevant endpoints in the target organs in human studies?		
7: What is the nature of any dose–response relationship between preservatives alone or in combination with UVR and relevant endpoints in the target organs in human studies?		
8: What is the ADME* in humans?		
<b>Study design</b>	In	Human studies, including cohort studies, case-control studies (prospective, retrospective and nested), toxicokinetic and biomonitoring studies
	Out	Animal studies and <i>in vitro/in silico</i> studies
<b>Population</b>	In	All age groups, males and females
<b>Exposure</b>	In	Dermal and oral**
	Out	Inhalation Studies where the examined agent is part of a substance mixture and not tested alone
<b>Outcome of interest</b>	In	All reported adverse health effects
	Out	Studies reporting exclusively preventive/beneficial effects on the target organs
<b>Language of the full text</b>	In	English, Norwegian, Swedish, Danish, German
<b>Publication type</b>	In	E.g. primary research studies, systematic reviews, meta-analyses and risk assessments
	Out	Editorials, letters to the editor, book chapters, meeting’s abstracts and posters

312 \*ADME - absorption, distribution, metabolism, excretion.

313 \*\*Information from toxicological studies based on oral exposure may be of value for dermal hazard  
 314 characterisation.

315

316

317 **Table 3.3.1-2:** Inclusion/exclusion criteria for animal studies for hazard identification and  
 318 characterisation

<b>Literature screening for data related to the following sub-questions to be answered in the hazard identification and characterisation steps</b>		
3: Is exposure to UV-filters alone or in combination with UVR related to adverse effects in animals?		
4: Is exposure to preservatives alone or in combination with UVR related to adverse effects in animals?		
6: What is the nature of any dose–response relationship between UV-filters alone or in combination with UVR and relevant endpoints in the target organs in animal studies?		
7: What is the nature of any dose–response relationship between preservatives alone or in combination with UVR and relevant endpoints in the target organs in animal studies?		
8: What is the ADME* in animals and is it different from that of humans?		
<b>Study design</b>	In	<i>In vivo</i> studies on animals not examining genotoxicity Toxicokinetic studies (narrative approach)
	Out	Human studies and <i>in vitro/in silico</i> studies
<b>Population</b>	In	All mammalian animals
	Out	Non-mammalian animals
<b>Exposure</b>	In	Dermal and oral**
	Out	Inhalation Studies where the examined agent is part of a substance mixture and not tested alone
<b>Outcome of interest</b>	In	All reported adverse effects
	Out	Studies reporting exclusively preventive/beneficial effects
<b>Language of the full text</b>	In	English, Norwegian, Swedish, Danish, German
<b>Publication type</b>	In	E.g. primary research studies, systematic reviews, meta-analyses and risk assessments
	Out	Editorials, letters to the editor, book chapters, meeting’s abstracts and posters

319 \*ADME - absorption, distribution, metabolism, excretion.

320 \*\*Information from toxicological studies based on oral exposure may be of value for dermal hazard  
 321 characterisation.

322

323 **Table 3.3.1-3:** Inclusion/exclusion criteria for *in vitro* studies.

<b>Literature screening for data related to the following hazard identification and characterisation sub-question</b>		
5: Are UV-filters and/or preservatives alone or in combination with UVR associated with genotoxicity*, skin irritation or skin sensitisation?		
<b>Study design/test systems</b>	In	<i>In vitro</i> and <i>in vivo</i> studies on genotoxicity and <i>in vitro</i> studies on skin irritation and skin sensitisation
	Out	Test systems: <i>Drosophila melanogaster</i> , <i>Vicia faba</i> , <i>Allium cepa</i> , fish.
	In	Route of exposure for animal <i>in vivo</i> studies: dermal, oral, subcutaneous, intraperitoneal

<b>Exposure</b>	Out	Other exposure routes
<b>Outcome of interest</b>	In	<ul style="list-style-type: none"> <li>• Gene (point) mutation</li> <li>• Structural and numerical chromosomal aberrations</li> <li>• Micronuclei</li> <li>• Endoreduplication, polyploidy</li> <li>• Sister chromatid exchange (SCE)</li> <li>• Unscheduled DNA synthesis (UDS)/DNA repair</li> <li>• Cell transformation</li> <li>• Skin irritation</li> <li>• Skin sensitisation</li> </ul>
<b>Language of the full text</b>	In	English, Norwegian, Swedish, Danish, German
<b>Publication type</b>	In	E.g. primary research studies, systematic reviews, meta-analyses and risk assessments
	Out	Editorials, letters to the editor, book chapters, meeting's abstracts and posters

324 \*Genotoxicity includes mutagenicity in this assessment.

### 325 **3.3.2 Data extraction and evaluation of risk of bias**

#### 326 **3.3.2.1 Data extraction**

327 Data from the included human studies will be extracted using Table 3.3.2.1-1.

328 **Table 3.3.2.1-1:** Data extraction form for human studies (modified from EFSA *et al.* (2017)).

<b>Study ID</b>	<b>Reference:</b>
	Study name and acronym (if applicable):
<b>Funding</b>	Funding source:
	Public/private:
<b>Study design</b>	Study type:
	Type of blinding:
	Year the study was conducted (start):
	Duration/length of follow-up:
	Method for randomisation:
	Dates of sampling and data acquisition (when relevant):
	Dates for analyses of levels of UV-filters/preservatives, their related metabolites, (photo-)degradation products or skin effects:
<b>Subjects</b>	Number of participants in the study:
	Participation rate:
	Number of subjects with measured levels of UV-filters/preservatives, their related metabolites, (photo-)degradation products or skin effects:
	Number of exposed/non-exposed subjects:

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	Follow-up rates by group (%):
	Ethnicity:
	Skin type classification (e.g. Fitzpatrick (1988)):
	Sex (male/female):
	Geography (country, region, state, etc.) of subjects:
	Age at exposure:
	Socioeconomic background:
	Confounders and other variables as reported:
	Inclusion and exclusion criteria:
<b>Intervention/exposure</b>	Measured levels of UV-filters/preservatives (and metabolites, degradation products) from chemical exposure, photoproducts, degradation products and UV (repair-) biomarkers from UV co-exposure in human biological samples (e.g. breast milk, blood, urine, skin) as well as erythema detection in skin. Methods used (validation of the method, measures to avoid contamination of samples, calibration, etc.):
<b>Methods for endpoint assessment</b>	Parameters measured, estimated or calculated (units of measure, measures of central tendency and dispersion, confidence interval, approximations):
	Diagnostics or methods to measure health outcome (including self-reporting):
<b>Results and statistical analysis</b>	Outcome assessment (e.g. mean, median, measures of variance as presented in paper such as standard deviation, standard error of the mean, 75th/90th/95th percentile, minimum/maximum):
	Measures of effect and all statistics at each exposure level as reported in the paper, and for each sub-group and end-point when applicable:
	Predefinition of sub-group analyses (yes/no, including justification):
	Treatment of variables (continuous, transformed, or categorical):
	Statistical test used, modifying factors and other potential sources of bias:
<b>Other comments</b>	

329 Data from the included animal studies will be extracted using Table 3.3.2.1-2.

330 **Table 3.3.2.1-2:** Data extraction form for experimental animal studies (modified from EFSA *et al.*  
331 2017).

<b>Study ID</b>	<b>Reference:</b>
	Year the study was conducted (start, if available):
<b>Funding</b>	Funding source:
	Public/private:
<b>Type of study and guideline</b>	Good laboratory practice (yes/no):
	Guideline study (if yes, specify):
	Type of study:
<b>Animal model</b>	Species/(sub-)strain/line:
	Disease models (e.g. allergy):
	Skin/fur pigmentation:

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<b>Housing condition</b>	Housing condition (including cages, bottles, bedding):	
	Diet name and source:	
	Background levels of phytoestrogens in the diet (type and levels):	
	Background levels of potential photosensitisers (e.g. riboflavin) in the diet (type and levels):	
<b>Exposure</b>	UV-filter/preservative provider:	
	Compound purity:	
	Vehicle used:	
	UVB/UVA-filter:	
	Dose regimen (dose level or concentration of preservatives and dose level, concentration, SPF and/or layer thickness of UV-filter per group, and frequency):	
	Route of administration:	
	Period of exposure (pre-mating, mating, gestation, lactation, adult):	
	Exposure duration of the UV-filter/preservative:	
	Level of test compounds and their degradation products and metabolites, photo(degradation-)products in tissue or blood and co-exposure radiation effects in skin (e.g. erythema):	
	Optical radiation* source (e.g. sun simulator) and manufacturer:	
	Duration of the optical radiation co-exposure:	
	Optical radiation spectrum and dose (e.g. radiant exposure, standard erythemal dose, minimal erythemal dose):	
	<b>Study design</b>	Sex and age of the initially exposed animals:
		Number of groups/ number of animals per group:
Randomisation procedures at start of the study:		
Reducing (culling) of litters and method:		
Number of pups per litter for next generation and methodology:		
Number of pups per litter/animals for certain measurements and methodology:		
Time of measurement/observation period (pre-mating, mating, gestation, lactation, adult):		
Endpoints measured:		
Methods to measure endpoint:		
Dates of sampling, skin change determination (when relevant):		
Anaesthesia/analgesia and possible interaction with optical radiation		
<b>Statistical analysis</b>	Statistical methods:	
<b>Results</b>	Documentation of details for dose conversion when conducted:	
	Results per dose or concentration (e.g. mean, median, frequency, measures of precision or variance):	
	Observed effect level:	
	Shape of dose-response if reported by the authors:	
<b>Other comments</b>		

332 \*Optical radiation (UV, visible and infra-red). Other wavelength ranges than UV may influence on  
333 endpoints.

334 **3.3.2.2 Evaluation of risk of bias**

335 In the assessment, the evaluation of risk of bias includes the following considerations:

- 336 • Aspects that introduce a systematic difference between the control and the exposed  
337 group only (e.g. non-randomised allocation of animals to study groups).
- 338 • Aspects potentially affecting, to the same extent, control and exposed study groups  
339 (e.g. the reliability of the method used to test the outcome).

340 The questions addressed to assess the risk of bias in the human and animal studies are  
341 presented in Table 3.3.2.2-1 and Table 3.3.2.2-2, respectively (NTP, 2015). For each  
342 question in Table 3.3.2.2-1 and Table 3.3.2.2-2, the response options (Table 3.3.2.2-3) are  
343 "Definitely low risk of bias (++)", "Probably low risk of bias (+)", "Probably high risk of bias  
344 (-)", "Definitely high risk of bias (--)". Whenever an element to be evaluated is not reported,  
345 this will by default be judged as "Probably high risk of bias".

346 **Table 3.3.2.2-1.** Evaluation of risk of bias in human studies (modified from EFSA et al. (2017)).

No.	Question	Domain	Rating (++, +, -, --)
1	Did selection of study participants result in appropriate comparison groups?	Selection	
2	Can we be confident in the exposure characterisation?	Detection	
3	Can we be confident in the outcome assessment?	Detection	
4	Did the study design or analysis account for important confounding and modifying variables?	Confounding	
5	Do the statistical methods seem appropriate?	Other sources of bias	

347

348 **Table 3.3.2.2-2.** Evaluation of risk of bias in animal studies (modified from EFSA et al. (2017)).

No.	Question	Domain	Rating (++, +, -, --)
1	Were experimental conditions identical across study groups?	Performance	
2	Were outcome data completely reported without attrition or exclusion from analysis?	Attrition	
3	Can we be confident in the exposure characterisation?	Detection	
4	Can we be confident in the outcome assessment?	Detection	
5	Were the statistical methods and the number of animals per dose group appropriate?	Other sources of bias	

349

350 **Table 3.3.2.2-3.** Response options for evaluation of risk of bias (modified from EFSA et al. (2017)).

Rating	Response to the question	Description
++	<b>Definitely low risk</b> of bias	There is direct evidence of low risk of bias practices.
+	<b>Probably low risk</b> of bias	There is indirect evidence of low risk of bias practices, or it is deemed that deviations from low risk of bias practices for these criteria during the study would not appreciably bias results. This includes consideration of direction and magnitude of bias.
<b>-/not reported</b>	<b>Probably high</b> risk of bias	There is indirect evidence of high risk of bias practices, or there is insufficient information provided about the relevant risk of bias practices.
--	<b>Definitely high</b> risk of bias	There is direct evidence of high risk of bias practices.

351 The ratings of the questions (++, +, -, --) will be integrated to classify the studies in tiers  
 352 from 1 to 4 corresponding to decreasing levels of risk of bias. Two reviewers will perform  
 353 each evaluation independently. In case of disagreement, the reviewers will discuss until  
 354 consensus is reached or the Panel will reach a final decision.

### 355 **3.4 Evaluation of relevance of the endpoints for the target** 356 **population**

357 For the animal studies, the relevance of the specific endpoints studied for the human target  
 358 population will be evaluated (EFSA Scientific Committee et al., 2017). The evaluation will be  
 359 performed by two reviewers independently. In case of disagreement, the reviewers will  
 360 discuss until consensus is reached or the Panel will reach a final decision.

### 361 **3.5 Weighing the body of evidence**

362 All studies reporting on a given endpoint will be grouped, and the evidence will be weighed  
 363 using a modified version from EFSA et al. (2017) downgrading or upgrading the confidence  
 364 in the evidence. Several elements will be considered for downgrading or upgrading the  
 365 confidence in the evidence:

366 Elements that may cause downgrading of the confidence in the evidence are:

- 367 • Risk of bias
- 368 • Relevance of endpoints (for animal studies only)
- 369 • Unexplained inconsistency
- 370 • Imprecision

371

372 Elements that may cause upgrading of the confidence in the evidence are:

373

374 • Large effect (e.g. incidence, degrees of severity)

375 • Dose-response relationship

376 • Consistency, across study design type, dissimilar populations, animal models, species  
377 or gender, and in direction of effect

378 • Confounding, if all relevant confounders are described and taken into account

379

380 Table 3.5.-1 will be used for the downgrading/upgrading of the evidence. One table will be  
381 used per endpoint. After the downgrading/upgrading of the evidence, the terms used for the  
382 overall confidence in the evidence are:

383

384 • **High confidence (++++)** in the association between exposure to the substance and  
385 the outcome. The true effect is highly likely to be reflected in the apparent relationship.

386 • **Moderate confidence (+++)** in the association between exposure to the substance  
387 and the outcome. The true effect may be reflected in the apparent relationship.

388 • **Low confidence (++)** in the association between exposure to the substance and the  
389 outcome. The true effect may be different from the apparent relationship.

390 • **Very low confidence (+)** in the association between exposure to the substance and  
391 the outcome. The true effect is highly likely to be different from the apparent  
392 relationship.

393 **Table 3.5-1.** Grading confidence in the body of evidence per endpoint (modified from *EFSA et al. (2017)*).

<b>Endpoint [describe]</b>									
	<b>Elements triggering downgrading</b>				<b>Elements triggering upgrading</b>				Confidence level
<b>Reference</b>	Risk of bias	Relevance of endpoint (animal studies only)	Unexplained inconsistency	Imprecision	Large effect	Dose-response relationship	Consistency	Confounding	
<b>Reference 1</b>	Describe identified risks	Discuss use of endpoints or models with less relevance to humans	Describe results in terms of consistency  Explain apparent inconsistency (if it can be explained)	Discuss ability to distinguish treatment from control  Describe confidence intervals	Describe magnitude of response	Outline evidence for or against dose response	Describe cross-species, model, or population consistency	Address whether there is evidence that confounding would bias toward null	Confidence level
<b>Reference 2</b>									
<b>Reference 3 etc.</b>									
<b>Overall conclusion on confidence</b>									Overall confidence interval

394

395

396 To decide if each endpoint represents an adverse health effect or not will be based on the  
 397 overall confidence in the body of evidence. The Panel emphasises that the likelihood  
 398 assessed by the WoE approach refers specifically to hazard identification, i.e. it refers to the  
 399 likelihood of an association between UV-filters and/or preservatives and the effect under  
 400 consideration. It does *not* refer to the likelihood or frequency of the effect actually occurring  
 401 in humans, which depend on additional factors. Such factors include e.g. the dose-response  
 402 relationship for the effect (considered in hazard characterisation) and the levels of human  
 403 exposure to UV filters and/or preservatives (see Chapter 5).

### 404 **3.6 Method for performing hazard characterisation**

405 For the hazard characterisation, the overall confidence in the evidence for each endpoint will  
 406 be transformed to likelihood of an association between the agent in question and the  
 407 adverse effect represented by the endpoint (Table 3.6-1).

408 **Table 3.6-1.** Terms used to transform the overall confidence interval in the evidence per endpoint to  
 409 overall likelihood.

Overall confidence level range *	Likelihood of an association between UV filters/preservatives and the adverse effect under consideration
++++	Very likely
From +++++ to ++++	Likely
From +++ to ++	As likely as not
From ++ to +	Unlikely
+	Very unlikely

410 \*This table is only used for endpoints described in more than one article. Endpoints that are described  
 411 in one article only will be evaluated by expert judgement.

412 Dose-response analysis will be performed for "Very likely" and "Likely" effects using human  
 413 and/or experimental animal studies showing adverse health effects relevant to humans.  
 414 Given the broad number of endpoints examined, the adversity of a specific effect and the  
 415 critical effect size (benchmark response) will be evaluated case-by-case based on expert  
 416 judgement. A justification will be provided.

### 417 **3.7 Uncertainty in hazard identification and characterisation**

418 The uncertainty evaluation of hazard identification and characterisation will be described  
 419 qualitatively, and an overview is given in Table 3.7-1. The symbols + and – indicate  
 420 overestimation and underestimation, respectively, and the scales from + to +++ and from –  
 421 to --- indicate the magnitude. When possible, the size of the uncertainty will be calculated  
 422 quantitatively.

423

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424 **Table 3.7-1.** Form used for qualitative evaluation of influences of uncertainties in the hazard  
425 identification and characterisation. The symbols + and – indicate overestimation and underestimation,  
426 respectively, and the scales from + to +++ and from – to --- indicate the magnitude. Examples are  
427 provided.

<b>Endpoint</b>	<b>Source of uncertainty</b>	<b>Direction</b>
<b>e.g. biomarker x</b>	Incorrect biological sample analysis	-
<b>e.g. skin adverse effect</b>	Radiation spectrum included infrared in addition to UV in animal exposure	+
<b>Etc.</b>		

428 - : uncertainty likely to cause under-estimation of the consequence.

429 +: uncertainty likely to cause over-estimation of the consequence.

430

431

## 4 Benefit identification and characterisation

### 4.1 Identification of sub-questions for the benefit assessment

The sub-questions to be answered by the benefit identification and characterisation are presented in Table 4.1-1. A full systematic procedure will be applied to identify studies reporting on beneficial health effects of UV-filters and preservatives in sunscreens.

**Table 4.1-1.** Sub-questions to be answered in the benefit identification and characterisation.

Benefit assessment step	No.	Sub-question	Approach
Benefit identification	1	Is dermal exposure to UV-filters alone or in combination with UVR related to beneficial effects in humans? Identify target organs.	Systematic
Benefit identification	2	Is dermal exposure to preservatives alone or in combination with UVR related to beneficial effects in humans? Identify target organs.	Systematic
Benefit identification	3	Is dermal exposure to UV-filters alone or in combination with UVR related to beneficial effects in animals? Identify target organs.	Systematic
Benefit identification	4	Is exposure to preservatives alone or in combination with UVR related to beneficial effects in animals? Identify target organs.	Systematic
Benefit characterisation	5	What is the nature of any dose–response relationships between UV-filters alone or in combination with UVR and beneficial effects in the target organs in human and/or animal studies?	Systematic
Benefit characterisation	6	What is the nature of any dose–response relationships between preservatives alone or in combination with UVR and beneficial effects in the target organs in human and/or animal studies?	Systematic
Benefit characterisation	7	Are the included human/animal studies biased according to the defined criteria?	Risk of bias evaluation

## 439 4.2 Literature search - Benefit

440 A literature search will be performed to identify publications on beneficial health effects  
441 related to UV-filters and preservatives in sunscreens alone or in combination with solar UVR.

442 The literature search will be conducted in the following bibliographic databases:

- 443 ○ Ovid MEDLINE(R)
- 444 ○ Embase
- 445 ○ ISI Web of Science
- 446 ○ Scopus
- 447 ○ Cochrane Database of Systematic Reviews
- 448 ○ Epistemonikos

## 449 4.3 Methods for gathering evidence

### 450 4.3.1 Inclusion/exclusion criteria for the benefit identification and 451 characterisation steps

452 Tables 4.3.1-1 and 4.3.1-2 schematically list criteria for inclusion and exclusion of human and  
453 animal studies, respectively.

454 **Table 4.3.1-1:** Inclusion/exclusion criteria for human studies in the benefit identification and  
455 characterisation.

<b>Literature screening for data related to the following sub-questions to be answered in the benefit identification and characterisation steps</b>		
1: Is dermal exposure to UV-filters alone or in combination with UVR related to beneficial effects in humans? Identify target organs.		
2: Is dermal exposure to preservatives alone or in combination with UVR related to beneficial effects in humans? Identify target organs.		
5: What is the nature of any dose–response relationships between UV-filters alone or in combination with UVR and beneficial effects in the target organs in human studies?		
6: What is the nature of any dose–response relationships between preservatives alone or in combination with UVR and beneficial effects in the target organs in human studies?		
<b>Study design</b>	In	Human studies, including cohort studies, case-control studies (prospective, retrospective and nested)
	Out	Animal studies and <i>in vitro/in silico</i> studies
<b>Population</b>	In	All age groups, male and females
<b>Exposure</b>	In	Dermal exposure
	Out	Oral and inhalation Studies where the examined agent is part of a substance mixture and not tested alone
	In	All reported beneficial effects

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<b>Outcome of interest</b>	Out	Studies reporting exclusively adverse health effects
<b>Language of the full text</b>	In	English, Norwegian, Swedish, Danish, German
<b>Publication type</b>	In	E.g. primary research studies, systematic reviews, meta-analyses and risk assessments
	Out	Editorials, letters to the editor, book chapters, meeting's abstracts and posters

456

457

458 **Table 4.3.1-2:** Inclusion/exclusion criteria for animal studies in the benefit identification and  
459 characterisation steps.

<b>Literature screening for data related to the following sub-questions to be answered in the benefit identification and characterisation steps</b>		
3: Is dermal exposure to UV-filters alone or in combination with UVR related to beneficial effects in animals? Identify target organs.		
4: Is dermal exposure to preservatives alone or in combination with UVR related to beneficial effects in animals? Identify target organs.		
5: What is the nature of any dose–response relationships between UV-filters alone or in combination with UVR and beneficial effects in the target organs in animal studies?		
6: What is the nature of any dose–response relationships between preservatives alone or in combination with UVR and beneficial effects in the target organs in animal studies?		
<b>Study design</b>	In	<i>In vivo</i> studies on animals
	Out	Human studies and <i>in vitro/in silico</i> studies
<b>Population</b>	In	All mammalian animals
	Out	Non-mammalian animals
<b>Exposure</b>	In	Dermal
	Out	Oral and inhalation Studies where the examined agent is part of a substance mixture and not tested alone
<b>Outcome of interest</b>	In	All reported beneficial effects
	Out	Studies reporting exclusively adverse health effects
<b>Language of the full text</b>	In	English, Norwegian, Swedish, Danish, German
<b>Publication type</b>	In	E.g. primary research studies, systematic reviews, meta-analyses and risk assessments
	Out	Editorials, letters to the editor, book chapters, meeting's abstracts and posters

460

### 461 **4.3.2 Data extraction and evaluation of risk of bias**

462 Data from the included human and animal studies will be extracted using Tables 4.3.2-1 and  
463 4.3.2-2, respectively.

464 **Table 4.3.2-1:** Data extraction form for human studies (modified from EFSA et al. (2017)).

<b>Study ID</b>	<b>Reference:</b>
	Study name and acronym (if applicable):
<b>Funding</b>	Funding source:
	Public/private:
<b>Study design</b>	Study type:
	Type of blinding:
	Year the study was conducted (start):
	Duration/length of follow-up:
	Dates of sampling and data acquisition (when relevant):
	Dates for analyses of levels of UV-filters/preservatives, their related metabolites, (photo-)degradation products or skin effects:
<b>Subjects</b>	Number of participants in the study:
	Participation rate:
	Number of subjects with measured levels of UV-filters/preservatives, their related metabolites, (photo-)degradation products or skin effect:
	Number of exposed/non-exposed subjects:
	Follow-up rates by group (%):
	Ethnicity and skin type classification (e.g. Fitzpatrick (1988)):
	Sex (male/female):
	Geography (country, region, state, etc.) of subjects:
	Age at exposure:
	Socioeconomic background:
<b>Intervention/exposure</b>	Confounders and other variables as reported:
	Inclusion and exclusion criteria:
<b>Methods for endpoint assessment</b>	Measured levels of UV-filters/preservatives (and metabolites, degradation products) from chemical exposure and photoproducts, degradation products and UV (repair-) biomarkers from UV co-exposure in human biological samples (e.g. breast milk, blood, urine, skin) as well as erythema detection in skin. Methods used (validation of the method, measures to avoid contamination of samples, calibration, etc.):
	Parameters measured, estimated or calculated (units of measure, measures of central tendency and dispersion, confidence interval, approximations):
<b>Results and statistical analysis</b>	Diagnostics or methods to measure health outcome (including self-reporting):
	Outcome assessment (e.g. mean, median, measures of variance as presented in paper such as standard deviation, standard error of the mean, 75th/90th/95th percentile, minimum/maximum):
	Measures of effect and all statistics at each exposure level as reported in the paper, and for each sub-group and endpoint when applicable:
	Predefinition of sub-group analyses (yes/no, including justification):
	Treatment of variables (continuous, transformed, or categorical):

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	Statistical test used, modifying factors, estimation uncertainty and other potential sources of bias:
<b>Other comments</b>	

465

466

**Table 4.3.2-2.** Data extraction form for animal studies (modified from EFSA et al. (2017)).

<b>Study ID</b>	<b>Reference:</b>
	Year the study was conducted (start, if available):
<b>Funding</b>	Funding source:
	Public/private:
<b>Type of study and guideline</b>	Good laboratory practice (yes/no):
	Guideline study (if yes specify):
	Type of study:
<b>Animal model</b>	Species/(sub-)strain/line:
	Disease models (e.g. cancer, allergy):
	Skin/fur pigmentation:
<b>Housing condition</b>	Housing conditions (including cages, bottles, bedding):
	Diet name and source:
	Anaesthesia/analgesia and possible interaction with optical radiation:
	Background levels of phytoestrogens in the diet (type and levels):
	Background levels of potential photosensitisers (e.g. riboflavin) in the diet (type and levels):
<b>Exposure</b>	UV-filter/preservative provider:
	Compound purity:
	Vehicle used:
	UVB/UVA-filter:
	Dose regimen (dose level or concentration of preservatives and dose level, concentration, SPF and/or layer thickness of UV-filter per group, and frequency):
	Route of administration:
	Period of exposure (pre-mating, mating, gestation, lactation, adult):
	Exposure duration of the UV-filter/preservative:
	Optical radiation* source (e.g. sun simulator) and manufacturer:
	Duration of the optical radiation co-exposure:
	Optical radiation spectrum and dose (e.g. radiant exposure; standard erythemal dose; minimal erythemal dose):
<b>Study design</b>	Sex and age of the initially exposed animals:
	Number of groups/number of animals per group:
	Randomisation procedures at start of the study:
	Reducing (culling) of litters and method:
	Number of pups per litter for next generation and methodology:
	Number of pups per litter/animals for certain measurements and methodology:
	Time of measurement/observation period (pre-mating, mating, gestation, lactation, adult):
	Endpoints measured:

	Methods to measure endpoints:
	Dates of sampling, skin change determination (when relevant):
<b>Results and statistical analysis</b>	Statistical methods:
	Documentation of details for dose conversion when conducted:
	Level of test compounds and their degradation products and metabolites, photo(degradation-)products in tissue or blood and co-exposure radiation effects in skin (e.g. erythema):
	Results per dose or concentration (e.g. mean, median, frequency, measures of precision or variance):
	Observed effect level:
	Shape of dose-response if reported by the authors:
<b>Other comments</b>	

467 \*Optical radiation (UV, visible and infra-red). Other wavelength ranges than UV may influence on  
468 endpoints.

#### 469 **4.4 Evaluation of relevance of the endpoints for the target** 470 **population**

471 For the animal studies, the relevance of the specific endpoints studied for the human target  
472 population will be evaluated (EFSA Scientific Committee et al., 2017). The evaluation will be  
473 performed by two reviewers independently. In case of disagreement, the reviewers will  
474 discuss until consensus is reached or the Panel will reach a final decision.

#### 475 **4.5 Weighing the body of evidence**

476 Please see section 3.5.

477 The Panel emphasises that the likelihood assessed by the WoE approach refers specifically to  
478 benefit identification, i.e. it refers to the likelihood of an association between UV-filters  
479 and/or preservatives and the (reduction of the) effect under consideration. It does *not* refer  
480 to the likelihood or frequency of the effect actually occurring in humans, which depends on  
481 additional factors including the dose-response relationship for the effect (considered in  
482 benefit characterisation) and the levels of human exposure to UV-filters and/or preservatives  
483 (considered in exposure estimation).

#### 484 **4.6 Method for performing benefit characterisation**

485 For the benefit characterisation, the overall confidence in the evidence of each endpoint is  
486 transformed to likelihood (Table 4.6-1).

487

488 **Table 4.6-1.** Terms used to transform the overall confidence interval per endpoint to overall  
 489 likelihood.

<b>Overall confidence level range*</b>	<b>Likelihood of an association between UV filters/preservatives and the adverse effect under consideration</b>
++++	Very likely
<b>From +++++ to ++++</b>	Likely
<b>From +++ to ++</b>	As likely as not
<b>From ++ to +</b>	Unlikely
+	Very unlikely

490 \*This table is only used for endpoints described in more than one article. Endpoints that are described  
 491 in one article only will be evaluated by expert judgement.

492 Dose-response analysis will be performed for “Very likely” and “Likely” effects using human  
 493 and/or experimental animal studies showing adverse health effects relevant to humans.  
 494 Given the broad number of endpoints examined, the benefit of a specific effect and the  
 495 critical effect size (benchmark response) will be evaluated case-by-case based on expert  
 496 judgement. A justification will be provided.

497 **4.7 Uncertainty in benefit identification and characterisation**

498 The uncertainty evaluation of benefit identification and characterisation will be described in  
 499 the same way as uncertainty in the hazard identification and characterisation (see Table 3.7-  
 500 1).

501

## 502 5 Exposure

503 For all exposure estimations, the route of exposure is dermal. Inhalation of sunscreen  
504 particles, aerosols etc. is possible when aerosol can spray products are used. However, such  
505 products will not be included (please see section 2.3).

### 506 **5.1 Sub-questions to be answered in the exposure assessment** 507 **step**

508 An overview of the sub-questions to be answered in the exposure assessment is given in  
509 Table 5.1-1.

510 **Table 5.1-1.** Sub-questions to be answered in the exposure assessment.

<b>Risk assessment step</b>	<b>No.</b>	<b>Sub-question</b>	<b>Approach</b>
<b>Exposure estimation</b>	1	Using sunscreen products to protect against solar UVR, what is the exposure to UV-filters?	Systematic
<b>Exposure estimation</b>	2	Using sunscreen products to protect against solar UVR, what is the exposure to preservatives?	Systematic

511

512 A full systematic approach will be applied to identify studies reporting on concentrations of  
513 the included UV-filters and preservatives in sunscreens and on sunscreen use.

### 514 **5.2 Literature search - Exposure**

515 A literature search will be performed to identify publications on concentration of the included  
516 UV-filters and preservatives in sunscreens, and publications on sunscreen use.

517 The literature search will be conducted in the following bibliographic databases:

- 518 ○ Ovid MEDLINE(R)
- 519 ○ Embase
- 520 ○ ISI Web of Science
- 521 ○ Scopus
- 522 ○ Cochrane Database of Systematic Reviews
- 523 ○ Epistemonikos

524 **5.3 Method for gathering evidence**525 **5.3.1 Inclusion/exclusion criteria**

526 Tables 5.3.1-1 and 5.3.1-2 list criteria for including or excluding studies in the exposure  
527 assessment step.

528 **Table 5.3.1-1.** Inclusion/exclusion criteria for studies on concentration of the included UV-filters and  
529 preservatives in sunscreens.

<b>Literature screening for data on concentrations of the included UV-filters and preservatives in sunscreens</b>		
<b>Study design</b>	In	All publications that address analyses of concentrations of the included UV-filters and preservatives in sunscreens
<b>Study characteristics</b>	In	Studies presenting analytical data and/or biomonitoring data
<b>Analytical method</b>	In	All methods
	Out	-
<b>Outcome of interest</b>	In	Concentration of UV-filter in sunscreens Concentration of preservative in sunscreens
	Out	Concentration of UV-filters and preservatives in other cosmetics than sunscreens and in sunscreen lipsticks/aerosol can sprays Concentration data for other sunscreen ingredients Studies reporting exclusively on toxicity or preventive/beneficial effects
<b>Language of the full text</b>	In	English, German, Norwegian, Swedish and Danish
<b>Publication type</b>	In	Primary research articles Risk assessments and reports
	Out	Editorials, letters to the editor, book chapters, meeting's abstracts and posters

530

531 **Table 5.3.1-2.** Inclusion/exclusion criteria for studies on sunscreen use.

<b>Literature screening for data on realistic sunscreen use</b>		
<b>Study design</b>	In	All publications addressing application/user amounts of sunscreen
<b>Exposure</b>	In	Dermal
	Out	All other exposures
<b>Outcome of interest</b>	In	Data on application/use of sunscreen
	out	Data on application/use of sunscreen lipsticks/aerosol can sprays and other cosmetics not marketed primarily as sunscreen
<b>Language of the full text</b>	In	English, German, Norwegian, Swedish and Danish

<b>Publication type</b>	In	Primary research articles Risk assessments and reports
	Out	Editorials, letters to the editor, book chapters, meeting's abstracts and posters

532 **5.4 Data extraction**

533 Data from the included studies will be extracted using Table 5.4-1.

534 **Table 5.4-1.** Data extraction form for included studies.

<b>Study ID</b>	<b>Reference:</b>
	Year the study was conducted:
<b>Funding</b>	Funding source:
	Public/private:
<b>Aim of the study</b>	Analysis:
	Exposure:
<b>Methods for analysis</b>	Sample extraction:
	Calibration:
	Limit of detection/limit of quantification:
	Recovery data:
	Instrument/detector:
<b>Results</b>	Number of samples:
	Concentration of UV-filter/preservative:
	Amount of sunscreen used and skin area covered:
	Statistical methods used:

535 **5.5 Exposure estimation – scenarios and methods**

536 Different scenarios will be used for the exposure calculations.

537 Exposure estimation will be based on concentrations of the included UV-filters and  
 538 preservatives in sunscreens, and realistic user amounts of sunscreen product. If the  
 539 sunscreen manufacturer does not specify the concentration of the substance in question, the  
 540 maximally approved amount will be considered (EC, 2009). "Realistic user amount" is the  
 541 sunscreen amount as specified in the literature or decided by expert judgement.

542 An overview of exposure parameters relevant for the exposure assessment is given in Table  
 543 5.5-1.

544

545 **Table 5.5-1.** An overview of parameters relevant for the exposure assessment.

<b>Descriptor</b>	<b>Input parameter</b>
<b>Amount of sunscreen applied</b>	<ul style="list-style-type: none"> <li>• “Realistic” use – here defined as the amount of sunscreen as specified in the literature or decided by expert judgement</li> </ul>
<b>Absorption through skin</b>	<ul style="list-style-type: none"> <li>• As specified in the literature</li> </ul>
<b>Concentration of substance (UV-filter or preservative) in sunscreen</b>	<ul style="list-style-type: none"> <li>• Given by the producer or specified in the literature</li> <li>• If no data exist, the maximum approved concentration will be considered</li> </ul>

546 **5.6 Uncertainty in the exposure estimation**

547 The uncertainty evaluation of the exposure estimation will be described qualitatively (see  
 548 Table 3.7-1). When possible, the size of the uncertainty will be calculated quantitatively.

549

## 550 6 Risk-benefit assessment

### 551 **6.1 Risk-benefit analysis**

552 To weigh the probability of adverse health effects against the probability of benefit of  
553 sunscreen use as skin protection against UVR, the Panel will perform a risk-benefit  
554 assessment using a common scale of measurement. The outline below is adapted from  
555 Hoekstra et al. (2008).

556 From the hazard and benefit identification the population of interest will be selected. A  
557 population at risk can be e.g. people with allergy to any of the sunscreen ingredients to be  
558 assessed. A benefiting population can be e.g. the people who are the most exposed to solar  
559 UVR or people in age groups with high incidence of skin cancer.

560 Dose-response relationships may be established, depending on the available data, for each  
561 health effect expressed as the exposure to the selected sunscreen ingredients (UV-filters  
562 and/or preservatives) versus the probability to develop a disease. This quantitative  
563 procedure will be performed both for adverse and positive, i.e. reduced adverse, health  
564 effects.

565 The use distribution of sunscreen ingredient will be estimated and calculated at population  
566 level. The next step will be to calculate the incidence of disease for each health effect and  
567 for each sunscreen ingredient. The incidence can be expressed as the integral of the dose-  
568 response function obtained as described above and the probability density function of the  
569 sunscreen ingredient exposure distribution over the range of all exposures.

570 The burden of disease in the population caused by adverse effects of sunscreen ingredients  
571 and the reduction in the burden of disease for beneficial effects of sunscreen ingredients, will  
572 be estimated. Health losses or gains will be quantified with the disability-adjusted life-years  
573 method (DALY) (Murray, 1994) (see definition below). The disability weights for adverse and  
574 beneficial health effects of sunscreen (ingredient) use will be identified and DALYs for the  
575 sum of beneficial effects will be compared to DALYs for the sum of adverse effects of  
576 sunscreen (ingredient) use.

577  $DALY = YLL + (wt) YLD$

- 578
- 579 • DALY is the number of healthy years of life lost due to premature death and/or disability
  - 580 • YLL is years of life lost
  - 581 • wt is disability weight
  - 582 • YLD is the years lived with disability (incidence of the disease times the duration)

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583 A disability weight is a weight factor that reflects the severity of the disease on a scale from  
584 0 (perfect health) to 1 (equivalent to death) (Murray, 1994). Disability weights in Salomon et  
585 al. (2015) will be used where applicable. A document from WHO gives disability weights for  
586 melanoma and other malignant skin cancers (WHO, 2004) and disability weights for various  
587 skin diseases can be found in Murray et al. (2012) and Hollestein and Nijsten (2014). If  
588 these references prove insufficient, a literature search will be performed.

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